

PK | WEALTH



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Integrated Wealth Management and Financial Planning

01

Company Overview

PK Group was formed in 2003 as a multi-disciplinary financial services firm working with wealthy individuals and owner managed businesses in and around London. The group has grown organically and through acquisition and now provides integrated wealth management, financial & tax planning, business advisory and accountancy services.



PK Wealth is a PK Group company and provides a specialist investment management service using a rigorous methodology supported by robust research. Our level of integration provides a one stop shop for discerning clients.

Our experienced investment team works with our clients and their advisers to construct portfolios to meet stated needs.

With over 50 employees, PK Group has offices in London and the surrounding area.



Industry Recognition



Discretionary Fund Management Solutions Supporting Long Term Financial Objectives

02

Our Services

We work with private individuals, companies, trusts and charities in conjunction with their professional advisers. We focus solely on our area of expertise with the aim of achieving long term financial objectives for each client.

We offer two services on a discretionary basis.

Bespoke Portfolio Service



- **High service level providing solutions tailored to each client's requirements. Clients using this service have access to investment managers to discuss investment strategy and portfolio positioning.**
- **The Bespoke Portfolio service is appropriate for clients with investable assets of £200,000 or more.**

Model Portfolio Service



- **Lower cost portfolio solutions with varying risk profiles are managed around a common framework.**
- **The Model Portfolio service is appropriate for clients with investable assets of £50,000 or more.**

A photograph of two men in a professional setting. The man on the left, with grey hair and a beard, is wearing a blue button-down shirt and is smiling while looking at the other man. The man on the right is partially visible, wearing a light-colored shirt, and is gesturing with his hand. The background is a bright, out-of-focus interior with large windows.

Disciplined Investment Process Delivered by Experienced Portfolio Managers

03

Our Investment Philosophy

Our Investment Philosophy focuses on five distinct areas.



Achieving the Investment Objective

Our long term investment objective is the achievement of portfolio returns commensurate with a targeted level of risk.



Asset Allocation is Key

We determine portfolio allocation to equities, bonds, other assets and cash. Our asset allocation strategy is reviewed formally on a quarterly basis and adjustments may be made where appropriate to enhance investment returns.



Portfolio Construction Matters

We focus on investment styles incorporating value & growth as well as market capitalisation. We use a blend of active and passive investments across a range of different asset classes and geographies. Our aim is to adequately diversify a portfolio and incorporate a range of different themes.



Independent Research

We have no ties to providers that we might be biased to support. We receive no incentivisation from suppliers and are free to select investments based purely on merit. We conduct a range of face to face meetings with fund managers to gain a broad understanding of their processes and current positioning.



Competitive Charges

We have access to some of the most attractive terms in the market on a broad range of investments. We maintain a focus on overall portfolio charges to ensure that we are transparent and competitive.

Rigorous Methodology



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Portfolio Construction

The construction of our portfolios involves the following areas:



Selection of Investments

We use external database systems to screen the investment universe for interesting ideas.

We set search criteria based on factors including performance, risk/volatility, asset allocation, geographical spread, market capitalisation and investment style.



Buy List

Our buy list is made up of assets which have been through our due diligence process.

We operate an ongoing monitoring process and make changes to our list when managers, investment processes, or our conviction in the investment has changed.



Transition

We seek to phase in investments within three months from receipt of cash in order to average entry prices. For a portfolio transferred in specie, we aim to move that portfolio in line with the appropriate asset allocation within a period of six months.



Risk Management

Our objective is to achieve targeted returns with appropriate risk in the long term. We use a range of tried and tested statistical measures to monitor portfolio risk.

Our aim is to keep portfolio volatility within defined bands and use opportunities to either increase or reduce risk by targeting specific investments or asset allocations.

Portfolio Review and Actions

A close-up photograph of a person's hand pointing at a tablet screen. The tablet displays a financial dashboard with various charts, including a bar chart with red and green bars, and a line chart with green and red lines. The person is wearing a silver watch with a black strap. In the background, there is a notebook with a pen and a pair of glasses.

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Portfolio Management

Under our Bespoke Portfolio Management service, each client is assigned a portfolio manager so accountability is clear.

Portfolio Monitoring

Portfolios are monitored and reviewed monthly to ensure they remain in line with our current investment outlook.

Portfolio Rebalancing

Where portfolios have moved significantly from set parameters, we seek to adjust exposure to individual assets, geographies or overall asset classes as appropriate on a periodic basis.

Client Reporting

A valuation report is delivered by email or hard copy every 3 months according to client preference. It includes assets held, changes in value, net investments and current asset allocation.

Keeping You Informed

Following each calendar quarter we provide a newsletter delivered via email or accessible on our website. In addition, we comment on any significant events which may have an impact on investments. Finally, we provide informative articles on investment topics to aid understanding. Clients have access to their portfolios online at all times.



A photograph of a row of blue suits hanging on black hangers in a closet. The suits are arranged in a line, receding into the background. The lighting is soft, highlighting the texture of the fabric and the metallic sheen of the hangers. A semi-transparent white box is overlaid on the top left of the image, containing the text "Solutions to meet your needs".

**Solutions to meet
your needs**

06

Our Service Advantage

We are independent managers, not owned by a large group.
PK Wealth is owned by directors, private investors and staff.



Our staff are all professionals, highly qualified in their areas of expertise and with decades of experience.



Our portfolio management team has a track record of success.



Clients have direct access to portfolio managers where appropriate.



We seek long term, valued relationships and believe the best recommendations come from existing clients.



We are well versed in dealing with a wide range of clients, including professionals, retirees, entrepreneurs, trusts, charities and companies.



We work closely with clients professional advisers.



Our interests are aligned with our clients as our fees vary with the performance of the portfolios managed.



We are independent investment managers and are motivated to select only the best possible solutions.



We can call on the expertise of the financial planning, tax and accounting experts within PK Group.



We use the best technology vendors that provide the most client friendly and efficient solutions.



07

Senior Management Team

We work with you to provide solutions that match your needs.



Patrick Kennedy

Chairman

A Chartered Accountant, Patrick founded PK Group in 2003. Patrick is CEO of PK Group and was Chairman of Nautical Petroleum PLC, a UK listed company, until its acquisition by Cairn Energy PLC in 2012 for a cash consideration of £414 million. Patrick also holds an MBA and an MA in Economics.



Martin Crawley-Boevey

Director

Martin is a Financial Planning specialist with over twenty five years' experience of financial services and fifteen years' experience in assisting high net worth individuals in structuring their investments to achieve tax efficient outcomes.



Michael Usher

Chief Investment Officer

Michael spent 20 years with Brooks Macdonald Asset Management where, as Director of the company, he managed an investment team as well as individual client portfolios/relationships with sector research responsibilities for a range of different markets over time.



James Regan

Senior Investment Manager

James is a Senior Investment Manager for PK Wealth. He began his career with JP Morgan Chase before joining a specialist Asian and Japanese hedge fund, Coupland Cardiff. James then spent several years with PFP Wealth Management as a Portfolio Manager before moving to City Asset Management in 2015. James' specialisms include client portfolio management and a focus on Asian and emerging markets with specific responsibilities for asset allocation and fund research. James joined PK Wealth in 2021 and is a CFA Charter holder



Helene Jelman

Senior Investment Manager

Helene, a qualified chartered accountant, is a Senior Investment Manager for PK Wealth with more than 20 years' investment management experience including asset allocation, equity research, corporate governance and shareholder engagement. On a daily basis, Helene manages discretionary portfolios for UK and US charitable foundations, and private clients. She is also a Trustee and Chair of the Finance and Investment Committee of the DD McPhail Charitable Settlement. Helene was the Founder and CIO of Goldlake Capital Ltd.



Vince Harvey

Compliance Officer

With over 25 years in the financial services sector, 15 of which have been in Compliance roles, Vince is responsible for FCA compliance within PK Wealth. Particular areas of focus are the management and reporting of client portfolios and the maintenance of staff competence.

Get in touch

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IMPORTANT INFORMATION

The services of PK Group are conducted by PK Wealth Ltd, PK Partners LLP, PK Financial Planning LLP and PK Group Ventures Ltd. PK Group is also a trading name of PK Wealth Ltd, PK Partners LLP, PK Financial Planning LLP and PK Group Ventures Ltd. PK Wealth Ltd is a limited company registered in England and Wales No. 08991126. PK Partners LLP is a limited liability partnership registered in England and Wales No. OC304787. PK Financial Planning LLP is a limited liability partnership registered in England and Wales No. OC303592. PK Group Ventures Ltd is a limited company registered in England and Wales No. 8480181. PK Wealth Ltd and PK Financial Planning LLP are authorised and regulated by the Financial Conduct Authority.