

MORTGAGE ADVISER - TEMPORARY
PK FINANCIAL PLANNING LLP

JOB DESCRIPTION:

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- To provide independent Mortgage advice to own clients and existing clients of the PK Group.
 - To be registered as a Mortgage adviser with the FCA.
 - To abide by the PK Code of Practice and the rules and regulations of the firm and FCA.
 - To maintain high standards of integrity and fair dealing, to exercise due skill, care and diligence in all dealings with prospective and existing clients.
 - To introduce clients to other specialists of the PK Group where appropriate.
 - To uphold and support all of the PK values and at all times maintain the good name and reputation of the PK Group.
 - To advise and recommend only a Company's product or products in which you are authorised and competent.
 - To comply with the PK Group compliance and money laundering rules.
 - To treat all client information as **confidential**.

To adhere to the following statements of principle:

The MORTGAGE ADVISER must conduct his/her business with integrity.

The MORTGAGE ADVISER must conduct his/her business with due skill, care and diligence.

The MORTGAGE ADVISER must take reasonable care to organise and control his/her affairs responsibly and effectively, with adequate risk management systems.

The MORTGAGE ADVISER should remain financially solvent at all times.

The MORTGAGE ADVISER must observe proper standards of market conduct.

The MORTGAGE ADVISER must pay due regard to the interests of PK customers and treat them fairly.

The MORTGAGE ADVISER must pay due regard to the information needs of PK clients, and communicate information to them in a way which is clear, fair and not misleading.

The MORTGAGE ADVISER must manage conflicts of interest fairly, both between himself/herself and PK customers and between a customer and another client.

The MORTGAGEADVISED must take reasonable care to ensure the suitability of his/her advice and discretionary decisions for any customer who is entitled to rely upon his/her judgment.

The MORTGAGE ADVISER must deal with PK and the regulators in an open and cooperative way, and must disclose to PK appropriately anything relating to the firm of which PK would reasonably expect notice.